

**AUDIT COMMITTEE PROPOSED FORWARD WORK PROGRAMME  
2016 – 2017**

<b>DATE OF MEETING</b>	<b>FORWARD WORK PROGRAMME</b>	<b>OFFICER RESPONSIBLE</b>	<b>UPDATE</b>
<b>2016</b>			
<b>27<sup>th</sup> July</b>	<b>Information and Action Requests (if applicable).</b>	<b>Head of Audit (HOA)</b>	<b>Completed</b>
	<b>Updated Forward Work Programme</b>	<b>HOA</b>	<b>Completed</b>
	<b>IASS Outturn Report April 2016 to June 2016.</b>	<b>HOA</b>	<b>Completed</b>
	<b>Approval of the draft Annual Governance Statement 2015-16</b>	<b>Head of Finance</b>	<b>Completed</b>
	<b>Pre-audited Statement of Accounts 2015/16</b>	<b>Head of Finance</b>	<b>Completed</b>
	<b>Annual Corporate Risk Register</b>	<b>Head of Performance</b>	<b>Deferred</b>
	<b>Update on the Internal Audit Shared Service – External Assessment</b>	<b>HOA</b>	<b>Completed</b>
	<b>Whistleblowing Update</b>	<b>HOA</b>	<b>Completed</b>
	<b>Complaints update</b>	<b>HOA</b>	<b>Completed</b>
	<b>Computer Audit – Pilot Scheme</b>	<b>HOA</b>	<b>Completed</b>
	<b>Building Services Update</b>	<b>HOA</b>	<b>Completed</b>
	<b>Effectiveness of the Audit Committee</b>	<b>HOA</b>	<b>Completed</b>
	<b>External Auditors / Inspection Reports (where applicable).</b>	<b>Head of Finance/ Wales Audit Office (WAO)</b>	<b>N/A</b>
<b>21<sup>st</sup> September</b>	<b>Information and Action Requests</b>	<b>HOA</b>	<b>Completed</b>
	<b>Updated Forward Work Programme</b>	<b>HOA</b>	<b>Completed</b>
	<b>Amended Annual Governance Statement 2015/16</b>	<b>Head of Finance</b>	<b>Completed</b>
	<b>Audited Statement of Accounts / Final Annual Governance Statement / ISA 260 report.</b>	<b>Head of Finance / WAO</b>	<b>Completed</b>
	<b>Internal Audit 5 months Outturn Report April to August 2016.</b>	<b>HOA</b>	<b>Completed</b>
	<b>Annual Corporate Risk Register</b>	<b>Head of Performance</b>	<b>Deferred to November</b>
	<b>External Auditors / Inspection Reports (where applicable).</b>	<b>Head of Finance / WAO</b>	<b>Completed</b>
<b>21<sup>st</sup> November</b>	<b>Up dated Forward Work Programme</b>	<b>HOA</b>	<b>Completed</b>
	<b>Referral from Cabinet – Corporate Assessment</b>	<b>Head of Performance / WAO</b>	<b>Completed</b>

APPENDIX A

	<b>Information and Action Requests (where applicable)</b>	<b>HOA</b>	<b>Completed</b>
	<b>Fraud update – NFI</b>	<b>HOA</b>	<b>Completed</b>
	<b>School Summary Report</b>	<b>HOA</b>	<b>Completed</b>
	<b>Central South Consortium Annual Governance Statement 2015-16</b>	<b>HOA</b>	<b>Completed</b>
	<b>Corporate Risk Assessment Review 2016/17.</b>	<b>Head of Performance</b>	<b>Completed</b>
	<b>Internal Audit Outturn Report – April 2016 to September 2016; including update on IASS Performance.</b>	<b>HOA</b>	<b>Completed</b>
	<b>Update on Contracts</b>	<b>Head of Finance</b>	<b>Completed</b>
	<b>External Auditors / Inspection Reports (where applicable). – Work Programme update</b>	<b>Head of Finance/ WAO</b>	<b>Completed</b>
<b>2017</b>			
<b>20<sup>th</sup> February</b>	<b>Up dated Forward Work Programme</b>	<b>HOA</b>	<b>Submitted</b>
	<b>Information and Action Requests (if applicable).</b>	<b>HOA</b>	<b>N/A</b>
	<b>Internal Audit Shared Service Charter 2017-18</b>	<b>HOA</b>	<b>Deferred to April 17</b>
	<b>Internal Audit 10 months Outturn Report April 2016 – January 2017</b>	<b>HOA</b>	<b>Submitted</b>
	<b>Update on Audit Plan 2016/17</b>	<b>HOA</b>	<b>Submitted</b>
	<b>Treasury Management</b>	<b>Head of Finance</b>	<b>Submitted</b>
	<b>Update on Staff Vacancies</b>	<b>Head of Finance</b>	<b>Submitted</b>
	<b>External Auditors / Inspection Reports (where applicable).</b>	<b>Head of Finance / WAO</b>	
<b>24<sup>th</sup> April</b>	<b>Information and Action Requests (where applicable)</b>	<b>HOA</b>	
	<b>Updated Forward Work Programme</b>	<b>HOA</b>	
	<b>Proposed Forward Work Programme 2017-18.</b>	<b>HOA</b>	
	<b>IASS Charter 2017-18</b>	<b>HOA</b>	
	<b>Internal Audit proposed Annual Strategy and Audit Plan 2017-2018.</b>	<b>HOA</b>	
	<b>Audit Committee – Terms of Reference</b>	<b>HOA</b>	
	<b>Head of Audit’s Annual Opinion Report 2016/17</b>	<b>HOA</b>	
	<b>External Auditors / Inspection Reports (if applicable): -</b>	<b>Head of finance / WAO</b>	