

GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Meeting, 24th May, 2021

The Committee agenda is available [here](#)

Present: Councillors G.D.D. Carroll, Mrs. P. Drake, Dr. I.J. Johnson, K.F. McCaffer, Mrs. J.M. Norman, L.O. Rowlands, M.R. Wilson and Mr. P. Lewis (Lay Member).

AGENDA ITEM 1 – APPOINTMENT OF CHAIRMAN –

RESOLVED – T H A T Councillor G.D.D. Carroll be appointed Chairman for the current municipal year.

AGENDA ITEM 2 – APPOINTMENT OF VICE-CHAIRMAN –

RESOLVED - T H A T Councillor L.O. Rowlands be appointed Vice- Chairman for the current municipal year.

AGENDA ITEM 4 – MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 1st March 2021 be approved as a correct record.

AGENDA ITEM 5 – DECLARATIONS OF INTEREST –

No declarations of interest were received.

AGENDA ITEM 6 – VALE OF GLAMORGAN ANNUAL AUDIT SUMMARY 2020 AND PROGRESS AGAINST EXTERNAL REGULATORY RECOMMENDATIONS AND PROPOSALS FOR IMPROVEMENT (MD) –

RESOLVED –

(1) T H A T the contents of the Vale of Glamorgan Annual Audit Summary 2020 (Appendix A) be noted and the report referred to the Corporate Performance and Resources Scrutiny Committee and Cabinet with any recommendations / comments of the Governance and Audit Committee.

(2) T H A T the progress made to date in addressing recommendations and improvement proposals made by our external regulators (as in Appendix B to the report) be noted and be referred to Cabinet with any recommendations / comments of the Governance and Audit Committee.

Reasons for decisions

- (1) To provide for scrutiny and review of the Auditor General's Annual Audit Summary 2020 (Annual Improvement Report).
- (2) To ensure the Council implements its regulatory recommendations and improvement proposals and responds appropriately to the recommendations and proposals for improvement identified through the Audit Wales' programme of local and national Local Government Studies.

AGENDA ITEM 7 – AUDIT WALES 2021 AUDIT PLAN (HF/S151O) –

RESOLVED –

- (1) T H A T the contents of the report be noted.
- (2) T H A T a briefing note outlining the main implications of the McCloud judgement be produced and circulated to all Members of the Council.

Reasons for decisions

- (1) To facilitate monitoring of the Audit function.
- (2) To provide Members of the Council with a summary of the main implications following the McCloud judgement.

AGENDA ITEM 8 – EFFECTIVENESS OF THE AUDIT COMMITTEE – SELF ASSESSMENT (RIAS) –

RESOLVED – T H A T the contents of the report and appendices be approved.

Reason for decision

To ensure members of the Governance and Audit Committee are given the opportunity to respond to the draft report and are kept up to date and informed.

AGENDA ITEM 9 – LOCAL GOVERNMENT AND ELECTIONS (WALES) ACT – UPDATED COMMITTEE TERMS OF REFERENCE (RIAS) -

RESOLVED - T H A T the contents of the report and appendix be approved.

Reason for decision

To consider the current position with the Local Government and Elections (Wales) Bill and the action taken by the Vale Council in preparation for the same.

AGENDA ITEM 10 – REGIONAL INTERNAL AUDIT SERVICE CHARTER
2021/22 (RIAS) –

RESOLVED - T H A T the Regional Internal Audit Service Charter for 2021/22 as attached in Appendix A be approved.

Reason for decision

To keep the Governance and Audit Committee informed, and to ensure compliance with the Public Sector Internal Audit Standards (PSIAS).

AGENDA ITEM 11 – PROGRESS AGAINST THE INTERNAL AUDIT RISK
BASED PLAN 2020-21 (RIAS) -

RESOLVED - T H A T the contents of the report and the progress made against the 2020-21 Internal Audit Annual Risk Based Plan be noted.

Reason for decision

To keep Governance and Audit Committee informed.

AGENDA ITEM 12 – UPDATED FORWARD WORK PROGRAMME (RIAS) -

RESOLVED – T H A T the Forward Work Programme be approved.

Reason for decision

To ensure the Governance and Audit Committee is aware and informed of progress on the proposed work programme.