

## GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Meeting, 23<sup>rd</sup> September, 2021.

The Committee agenda is available [here](#).

The Meeting recording is available [here](#).

Present: Councillor G.D.D. Carroll (Chair), L.O. Rowlands (Vice-Chair); Councillors P. Drake, Dr. I.J. Johnson, K.F. McCaffer, J.M. Norman, M.R. Wilson and Mr. P. Lewis (Lay Member).

Also present: R. Freitag and S. Wyndham (Audit Wales).

### AGENDA ITEM 2. MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 20<sup>th</sup> July, 2021 be approved as a correct record.

### AGENDA ITEM 3. DECLARATIONS OF INTEREST –

No declarations of interest were received.

### AGENDA ITEM 4. VALE OF GLAMORGAN ANNUAL REPORT (IMPROVEMENT PLAN PART II) 2020/21 (REF) -

RESOLVED - T H A T the contents of the Vale of Glamorgan Annual Report (Improvement Plan Part II) be noted.

#### Reason for decision

Having regard to the contents of the report and discussions at the meeting.

### AGENDA ITEM 5. AUDIT OF THE 2020/21 FINANCIAL STATEMENTS – REPORTING TO THOSE CHARGED WITH GOVERNANCE (HF/S1510) –

RESOLVED - T H A T the report of the Appointed Auditor on the audit of the Council's Financial Statements for 2020/21 be approved and the Financial Statements including the Annual Governance Statement and Final Letter of Representation be recommended for signature by those authorised.

#### Reason for decision

Having considered the results of the audit of the Council's Financial Statements for 2020/21.

AGENDA ITEM 6. IMPLEMENTATION OF THE INTERNATIONAL FINANCIAL REPORTING STANDARD FOR LEASING (IFRS 16) (HF/S1510) –

RESOLVED -

- (1) T H A T the contents of the report be noted.
- (2) T H A T an update report be received by the Governance and Audit Committee.

Reasons for decisions

- (1) Having regard to the contents of the report and discussions at the meeting.
- (2) In order to provide an update on the work being carried out in relation to the implementation of the International Financial Reporting Standard for Leasing (IFRS 16).

AGENDA ITEM 7. REVIEW OF FRAUD AND GOVERNANCE AS PART OF THE EXTERNAL AUDIT BY AUDIT WALES (HF/S1510) –

RESOLVED - T H AT the findings of the review of Fraud and Governance as part of the external audit by Audit Wales be agreed.

Reason for decision

Having regard to the findings of the review undertaken by Audit Wales.

AGENDA ITEM 8. CORPORATE RISK REGISTER QUARTER 1 UPDATE (MD) –

RESOLVED –

- (1) T H A T the Quarter 1 position of corporate risks for the period April 2021-June 2021 as outlined in Annex A be noted.
- (2) T H A T the comments of the Governance and Audit Committee be considered by Cabinet at the meeting when Cabinet will consider a report on the Corporate Risk Register for quarter 1.
- (3) T H A T the Quarter 2 position update report contains greater focus and attention on the implications as a result of the current energy crisis and the challenges related to the supply of gas.
- (4) T H A T the Quarter 2 position report provides a more detailed update regarding challenges related to the work around the integration of Health and Social Care.

### Reasons for decisions

- (1) Having regard to the Quarter 1 Risk Register update report and discussions at the meeting.
- (2) On the request for the comments of the Governance and Audit Committee to be considered when the Risk Register Quarter 1 position is presented to Cabinet.
- (3) As a result of the risks associated due to the current challenges affecting the supply of gas.
- (4) To provide a more detailed update of the work undertaken around the integration of Health and Social Care.

AGENDA ITEM 9. NATIONAL FRAUD INITIATIVE UPDATE (HRIAS) –

RESOLVED - T H A T the contents of the report be noted.

### Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 10. PROGRESS AGAINST THE INTERNAL AUDIT RISK BASED PLAN 2021-22 (HRIAS) –

RESOLVED - T H AT the contents of the report be noted.

### Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 11. UPDATED FORWARD WORK PROGRAMME (HRIAS) –

RESOLVED –

- (1) T H A T the Forward Work Programme be noted.
- (2) T H A T the schedule of items for the next meeting, 13<sup>th</sup> December 2021, be endorsed.

### Reason for decisions

(1&2) To ensure the Governance and Audit Committee is aware and informed of progress on the proposed work programme.