

GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Meeting, 13th December, 2021.

The Committee agenda is available [here](#).

The Meeting recording is available [here](#).

Present: Councillor G.D.D. Carroll (Chair), L.O. Rowlands (Vice-Chair); P. Drake, Dr. I.J. Johnson, K.F. McCaffer, J. Norman, M.R. Wilson and Mr. P. Lewis (Lay Member).

Also present: S. Wyndham (Audit Wales).

AGENDA ITEM 2. MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 23rd September, 2021 be approved as a correct record.

AGENDA ITEM 3. DECLARATIONS OF INTEREST –

No declarations of interest were received.

AGENDA ITEM 4. AUDITOR GENERAL FOR WALES: REVIEW OF TOWN CENTRE REGENERATION IN WALES (REF) –

RESOLVED –

(1) T H A T the key findings arising from Audit Wales' review of Town Centre Regeneration (Appendix A) and the Council's response to the review and Audit Wales' recommendations (Appendix B) be endorsed.

(2) T H A T the report be referred to Cabinet for endorsement of the proposed actions to address the proposals for improvement.

Reasons for decisions

(1) Having regard to the contents of the report and discussions at the meeting.

(2) To ensure the Council responds appropriately to local and national reviews and implements areas of improvement as identified by Audit Wales.”

AGENDA ITEM 5. DEPRIVATION OF LIBERTY SAFEGUARDS (REF)

RESOLVED - T H A T the contents of the report be noted.

Reason for decision

Having considered the contents of the report and discussions at the meeting.

AGENDA ITEM 6. AUDIT WALES: FINANCIAL SUSTAINABILITY ASSESSMENT OF THE VALE OF GLAMORGAN COUNCIL (REF) –

RESOLVED –

(1) T H A T the contents of both the national and local financial sustainability reports including the key findings arising from Audit Wales' assessment of the Vale of Glamorgan's financial sustainability (Appendix A to the report) and the Council's response to the assessment findings and Audit Wales' Proposal for Improvement, be noted.

(2) T H A T the reports be referred to Cabinet for consideration and endorsement of the proposed actions to address the proposals for improvement.

Reasons for decisions

(1) Having regard to the Committee's review of the findings of Audit Wales' assessment of the Vale of Glamorgan Council's financial sustainability and future local government financial sustainability challenges.

(2) To ensure the Council responds appropriately and implements areas of improvement as identified by Audit Wales.”

AGENDA ITEM 7. CORPORATE RISK REGISTER QUARTER 2 UPDATE (MD) -

RESOLVED –

(1) T H A T the Quarter 2 position of corporate risks for the period April 2021-September 2021 as outlined in Annex A be noted.

(2) T H A T Cabinet be requested to endorse the inclusion of two new Corporate risks on the Register (Market Fragility Risk and Demand Management and Service Capacity Risk) as outlined in Annex A.

(3) T H A T the comments of the Governance and Audit Committee be considered by Cabinet at the meeting when Cabinet will consider a report on the Corporate Risk Register for quarter 2.

(4) T H A T the Governance and Audit Committee receives further information regarding the insurance issues being faced by Care Homes and Care Providers.

Reasons for decisions

- (1) Having regard to the Quarter 2 Risk Register update report and discussions at the meeting.
- (2) To identify the quarter 2 position of corporate risks across the Council along with actions to be taken to address these risks as well as highlighting emerging risks for inclusion on the Register as outlined in Annex A.
- (3) On the request for the comments of the Governance and Audit Committee to be considered when the Risk Register Quarter 2 position is presented to Cabinet.
- (4) To provide a more detailed information of the insurance issues facing Care Homes and Care Providers.

AGENDA ITEM 8. DRAFT COUNTER FRAUD STRATEGY & FRAMEWORK 2021 TO 2024 (HRIAS) –

RESOLVED –

- (1) That the draft Counter Fraud Strategy and Framework 2021 to 2024 be noted.
- (2) That the draft Counter Fraud Strategy and Framework 2021 to 2024 be referred to Cabinet for its consideration.

Reasons for decisions

- (1) Following consideration of the report and discussion at the meeting.
- (2) For the draft Counter Fraud Strategy and Framework 2021 to 2024 to be considered by Cabinet.

AGENDA ITEM 9. PROGRESS AGAINST THE INTERNAL AUDIT RISK BASED PLAN 2021-22 (HRIAS) -

RESOLVED - T H AT the contents of the report be noted.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 10. UPDATED FORWARD WORK PROGRAMME (HRIAS) -

RESOLVED -

- (1) T H A T the Forward Work Programme be noted.

(2) T H A T the schedule of items for the next meeting, 1st March 2022, be endorsed.

Reason for decisions

(1&2) To ensure the Governance and Audit Committee is aware and informed of progress on the proposed work programme.